

# THOMAS J. SHERWOOD

## HEADWATER INVESTMENT CONSULTING, INC.

408 East 1<sup>st</sup> Street  
McMinnville, Oregon 97128

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www.headwater-ic.com

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This Brochure Supplement provides information about Thomas J. Sherwood that supplements the Headwater Investment Consulting, Inc. Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact Scott Chambers at (503) 565-2100 or [scott@headwater-ic.com](mailto:scott@headwater-ic.com) if you did not receive copy of Headwater's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Headwater requires any supervised person involved in the practice of giving investment advice or consulting to Clients to have at a minimum, a bachelor degree from an accredited university. These persons must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

Additional information about Thomas J. Sherwood is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The searchable CRD number for Mr. Sherwood is 5821112.

**THOMAS J. SHERWOOD**

Year of Birth: 1987

**Item 2 – Educational Background and Business Experience**

**EDUCATION**

Bachelor of Arts Economics, Linfield College, McMinnville, Oregon (2010)

Bachelor of Arts Finance, Linfield College, McMinnville, Oregon (2010)

**BUSINESS BACKGROUND**

8/2015 to present: Portfolio Manager & Retirement Specialist,  
Investment Advisor Representative,  
Headwater Investment Consulting, Inc. (McMinnville, Oregon)

11/2011 to 8/2015: Associate Portfolio Manager, Investment Advisor Representative,  
Headwater Investment Consulting, Inc. (McMinnville, Oregon)

07/2010 to 11/2011: Financial Advisor Associate, Morgan Stanley Smith Barney  
(Portland, Oregon)

07/2008 to 06/2010: Accounting Assistant, Linfield College (McMinnville, Oregon)

**Item 3 – Disciplinary Information**

Thomas J. Sherwood has never been subject to any legal or disciplinary proceedings which would be considered material (or otherwise) to a Client's evaluation of his or any of the services Headwater Investment Consulting Inc. provides.

**Item 4 – Other Business Activities**

Thomas J. Sherwood is not involved in any other investment related business activity or occupation other than through Headwater Investment Consulting, Inc.

**Item 5 – Additional Compensation**

Thomas J. Sherwood does not receive additional compensation or economic benefit from any third parties for providing advisory services to Clients of Headwater Investment Consulting, Inc.

**Item 6 – Supervision**

Scott W. Chambers responsible for supervising the services and advice provided to all Clients of Headwater Investment Consulting, Inc. He prepares all investment policies, forms and procedures for Clients. Mr. Sherwood works under the supervision of Mr. Chambers.